

Postgraduate Diploma in Corporate Compliance

AC009

Inspiring Your Future

Business Education@**HKUSPACE**

ACCOUNTING



INTRODUCTION

The Postgraduate Diploma in Corporate Compliance (The Programme) is a unique programme that focuses on practical applications and all-round training in compliance; it is positioned to be the pioneer in the market.

The most updated and contemporary cases will be discussed; drawing reference from the standards and rules highlighting the issues and grey areas.

ABOUT THE PROGRAMME

Programme Objective

The objectives of the Programme are to equip students with skills and knowledge to analyse and interpret relevant listing rules, SFC regulations and financial standards. Students will be able to identify non-compliance issues and grey areas with reference to the rules and practices and prepare them for a career in compliance and related sectors.

The programme provides knowledge relating to regulatory environment as well as governance from strategic and operational perspectives. Graduates well trained in the governance discipline would be able to manage the risks better and accomplish their responsibilities with higher ethical standard and greater confidence.

Programme Structure

Students need to complete all six modules in order to be awarded the Postgraduate Diploma

~~Financial Reporting Compliance~~

Internal Controls - Concepts and Issues

Corporate Governance (CORE)

Listing Rules and Regulations

Securities and Futures Regulations and Practices (CORE)

Impact of Artificial Intelligence and Big Data on Compliance and Risk Management

Anti-money Laundering – Practices and Issues

^ All the modules such as financial reporting compliance, internal control, listing and SFC regulations offered in tandem with each other will deliver a well-structured and comprehensive coverage of compliance. The main focus of this programme is its emphasis upon the importance of compliance issues in the increasingly stringent regulatory environment.



MODE OF DELIVERY

Each academic year is divided into trimesters (Feb, June, October). This provides benefits of maximum flexibility for students to enroll in different points in time and plan their pace of studies.

All students are required to complete the whole programme in a maximum period of 3 years. All classes will be held in weekday evenings or weekends in the HKU SPACE learning centers.

Assessment

Students will be assessed on the basis of their performance in examinations and assignment that make up a total of 100%. Students are required to pass both assignment and the final examination in order to obtain an overall pass for a module.

Award

The award of Postgraduate Diploma in Corporate Compliance will be conferred upon successful completion of all 6 modules with no grade "F" in any of them and achieved a cumulative Grade Point Average (GPA) of at least 1.0.



TUITION FEE

\$7,300

per module*

* Application Fee: HK\$200 (non-refundable)

APPLICATION

Enrolment Method

Please submit the following documents along with your application in person at counter:

- Completed and signed application form
- Copies of ID card, academic certificates and transcripts (Please bring along the originals to any HKU SPACE Learning Centres upon submission of application for certification purposes.)
- A non-refundable application fee of HK\$200. Payment made any cheque should be crossed and made payable to "HKU SPACE".

ENTRY REQUIREMENTS



Applicants Shall Hold:

1. hold a bachelor's degree in any discipline awarded by a recognized university;
or
2. provide evidence of English proficiency (if the degree or equivalent qualification is from an institution where the language of teaching and assessment is not English) such as:
 - (i) an overall band of 6.0 with no subtests lower than 5.5 in the IELTS; **or**
 - (ii) a score of 550 in the paper-based TOEFL, or a score of 213 in the computer-based TOEFL; **or**
 - (iii) HKALE Use of English at Grade E; **or**
 - (iv) other equivalent qualifications.

Applicants with other qualifications will be considered on individual merit.

Notes to applicants:

- ~~Students need to take Basic Accounting or Postgraduate Diploma in Professional Accounting if you want to take Financial Reporting Compliance.~~
- ~~Business and Company Law – for claiming exemption, students need to study both business law and company law. With reference to the New Company Ordinance which came into force on 3 March 2014.~~
- Applicants with relevant prior studies can apply for exemption, please complete the exemption form and furnish course outline.

ENQUIRIES

Email: pgdcc@hkuspace.hku.hk
Tel: 2867 8409
Fax: 2867 8409

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